

Molecular evolution of the *Rh3* gene in *Drosophila*

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Abstract

Previous investigations into the evolution of the *Drosophila* opsin gene family are extended by inter- and intraspecific DNA sequence comparisons of the *Rh3* locus in the *melanogaster* subgroup and *D. pseudoobscura*. Two separate statistical tests of the neutral-mutation hypothesis suggest that random genetic drift is responsible for virtually all of the observed amino acid replacement substitutions within the *melanogaster* subgroup. Analyses incorporating the *D. pseudoobscura* sequences are enigmatic due to the accumulation of multiple substitutions, because the McDonald-Kreitman test is not applicable to species comparisons that approach mutational saturation. However, the data from *D. pseudoobscura* are not inconsistent with selective neutrality. The ratio of amino acid polymorphisms within species to fixed differences between species imply that there are approximately 31 possible neutral single-step amino-acid-replacement substitutions at this locus. Synonymous substitutions are unevenly distributed among the structural domains of the *Rh3* gene. Patterns of synonymous polymorphism are analyzed with respect to GC content and codon bias, and are compared to other loci from the same species.

Introduction

Opsin genes in *Drosophila* have served as an excellent model for the study of both sensory transduction in the nervous system and molecular evolution in a multigene family. Opsin proteins, together with a covalently-bound chromophore, usually 11-*cis* retinal, are light-absorbing visual pigments found universally throughout the animal kingdom (Martin *et al.*, 1986). The opsins are integral disc membrane photoreceptor neurons and are members of the larger family of signal-transducing proteins with seven-transmembrane-domains that interact with G-proteins. Photons of light isomerize the chromophore from 11-*cis* to all-*trans* retinal, causing a conformational change in the protein moiety, triggering the phototransduction cascade (Nathans, 1992).

In *Drosophila*, four paralogous members of the opsin gene family have been identified, designated *Rh1* through *Rh4*. Although each have similar structures and functions, they have evolved different absorption spectra and cell-specific develop-

mental patterns. The adult *Drosophila* visual system is composed of three simple eyes, the ocelli, in addition to the compound eyes, which are made up of approximately 800 units known as ommatidia. There are 20 cells in each ommatidium, including eight photoreceptor neurons designated R1-R8. The *Rh1* gene expresses a blue/green-absorbing opsin in cells R1-R6, which mediate optomotor behavior. The *Rh2* gene expresses a blue-absorbing opsin found in the ocelli. *Rh3* and *Rh4* encode ultraviolet-absorbing opsins, expressed alternately in R7 cells, which mediate phototactic responses. The opsin gene expressed in R8 has not been identified. (For a review of the *Drosophila* visual system see Smith, Stamnes & Zuker, 1991.)

A wide range of loci have been sequenced and analyzed from both *D. melanogaster* and *D. pseudoobscura*, and the patterns of nucleotide polymorphism reveal significant differences in the rates of both replacement and synonymous substitution between the different loci (Riley, 1989). Carulli and Hartl (1992) have analyzed the *Rh1* through *Rh4* genes in both species and found significant

differences in the rates of nucleotide substitution between the four members of this gene family. Furthermore, there is no correlation between the rates of synonymous and replacement substitutions among the four genes. These results suggest complex and varying levels of selective pressures acting upon these loci.

In this paper we present both inter- and intra-specific DNA sequence comparisons of *Rh3* in an attempt to further elucidate the factors influencing the evolution of this locus. Analyses of intraspecific sequence polymorphism can reveal patterns of evolution obscured by interspecific comparisons, and can also indicate whether those patterns are due to the adaptive fixation of advantageous mutations or to selectively neutral processes (Hudson, Kreitman & Aguadé, 1987; McDonald & Kreitman, 1991a; Sawyer & Hartl, 1992). We present DNA sequence from five alleles of *Rh3* from each of four species of the *D. melanogaster* subgroup, as well as three alleles from *D. pseudoobscura*.

Materials and methods

Drosophila strains

Several isofemale lines established from various *Drosophila* collections were used for this analysis. One isofemale line of *D. melanogaster* was studied from each of five collections representing Brazzaville (Congo), Cotonou (Benin), Harwich (United Kingdom), Lausanne (Switzerland), and St. Louis (Missouri); one isofemale line of *D. simulans* was studied from each of five collections representing Capetown (South Africa), Brazzaville (Congo), Le Cap (Haiti), Morrow Bay (California), and St. Louis (Missouri); five isofemale lines of *D. teissieri* were studied from collections made in Brazzaville (Congo); and five isofemale lines of *D. yakuba* were studied from collections made in the Ivory Coast. The isofemale lines from Africa and Haiti were kindly provided by Jean David and Pierre Capy. *D. pseudoobscura* strains PSU 434 from California Toiyabe National Forest and PSU 231 from Arizona Kaibab National Forest (kindly provided by Dr. Stephen Schaeffer), and the *D. pseudoobscura* sequence from Carulli and Hartl (1992) were also used. The *Rh3* gene was amplified and sequenced from one individual chosen at random from each of the isofemale lines. DNA extraction

was performed according to the protocol of Gloor and Engels (1991).

Amplification of *Rh3* DNA

PCR and sequencing primers were designed based on the published sequences (Zuker *et al.*, 1987; Carulli & Hartl, 1992) using the OLIGO 4.0 program for the Macintosh (Rychlik, 1992). The following PCR primers were used.

For *D. melanogaster*:

5'-CACTGCACTAACCTTCAGATG-3'
and 5'-CACATTGCGTTGAGTATGACC-3'.

For *D. simulans*, *D. teissieri*, and *D. yakuba*:

5'-CTGGGCTGGCTGTGATTTTCGTCTT-3'
and 5'-GGCGTTTGGTATTACGAGTTC-3'.

For *D. pseudoobscura*:

5'-CCAAATGATGTATCAGCAGAA TG-3'
and 5'-TTGTATTACGAGTTCGTTTCC-3'.

The optimal annealing temperatures for all primers were estimated with the OLIGO 4.0 program. Following amplification (Saiki *et al.*, 1988), the PCR products were purified by diluting the 30 μ l reaction volume to a final volume of 100 μ l with ddH₂O, followed by phenol extraction, precipitation in the presence of 2.5 M NH₄OAc and one volume 100% ethanol, and washing with 70% ethanol (Sambrook, Fritsch & Maniatis, 1989).

DNA sequencing

Sequencing of all the PCR products was performed with an Applied Biosystems model 373A DNA sequencing system and the *Taq* DyeDeoxy™ terminator cycle-sequencing kit (Halloran, Du & Wilson, 1993, and recommendations of the manufacturer). Organic contaminants were removed with the phenol-chloroform extraction protocol provided with the sequencing kit. Both strands of the entire *Rh3* coding region from all the *melanogaster* subgroup strains were sequenced. For the *D. pseudoobscura* strains both strands of all but the final 50 bp at the 3' end of the coding region were sequenced; also, the first 21 bp at the 5' end were ignored because of ambiguous sequence alignment.

Sequence analysis

DNA sequences were aligned with the MacVector program for the Macintosh (IBI, 1991). Nucleotide

substitutions from the aligned DNA sequences were classified into fixed differences between species and polymorphisms within species with reference to their phylogenetic relationships, which are well established and consistent across a variety of characters (Lachaise *et al.*, 1988). The species define two phylads, one including *D. melanogaster* and *D. simulans*, the other including *D. teissieri* and *D. yakuba*. Statistical analyses were performed with the Statview program for the Macintosh (Abacus Concepts, 1992).

Results

The variable nucleotide positions found in the *Rh3* gene are listed in Figure 1. In Table 1 the nucleotide substitutions are classified according to their effect on amino acid sequence (replacement or synonymous) and status in the population (fixed between species or polymorphic within species). A subset of the data which includes only the sequences from the *melanogaster* subgroup (*D. melanogaster*, *D. simulans*, *D. teissieri*, and *D. yakuba*) was analyzed separately, in addition to the entire data set including all sequences examined. A G-test of independence with the Williams correction for continuity (Sokal & Rohlf, 1981) was used for all data sets to test the null hypothesis that the ratios of replacement to synonymous fixed substitutions is the same as the ratio of replacement to synonymous polymorphic substitutions. This null hypothesis repre-

Table 1. Number of replacement and synonymous nucleotide substitutions in the *Rh3* gene that are fixed between species or polymorphic within species.

	<i>Melanogaster</i> subgroup ^a		<i>Melanogaster</i> subgroup and <i>D. pseudoobscura</i>	
	Fixed	Poly-morphic	Fixed	Poly-morphic
Replacement	3	1	29	1
Synonymous	49	47	177	52
G Value	0.82		8.14	
Probability	0.43		0.005	

^a Includes *D. melanogaster*, *D. simulans*, *D. teissieri* and *D. yakuba*.

Table 2. Number of replacement and synonymous nucleotide substitutions in the *Rh3* gene that are fixed between species or polymorphic within species, classified by structural domain.

	<i>Melanogaster</i> subgroup ^a					
	Trans-membrane		Extracellular		Intracellular	
	Fixed	Poly.	Fixed	Poly.	Fixed	Poly.
Replacement	1	0	1	1	1	0
Synonymous	14	23	22	14	13	10

	<i>Melanogaster</i> subgroup and <i>D. pseudoobscura</i>					
	Trans-membrane		Extracellular		Intracellular	
	Fixed	Poly.	Fixed	Poly.	Fixed	Poly.
Replacement	13	0	10	1	6	0
Synonymous	72	28	67	16	38	3

^a Includes *D. melanogaster*, *D. simulans*, *D. teissieri* and *D. yakuba*.

sents the expected outcome if all alleles are evolving by selectively neutral processes; deviations from that expectation would be indicative of adaptive evolution in response to natural selection (McDonald & Kreitman, 1991a). The G-values and their probabilities are given in Table 1. Only the *melanogaster* subgroup data appear consistent with the neutral-mutation hypothesis.

Another statistical test of the neutral-mutation hypothesis, known as the HKA test (Hudson, Kreitman & Aguadé, 1987), examines the correspondence between intraspecific polymorphism and interspecific divergence by comparing nucleotide sequence data from at least two loci in each of two species (the HKA test does not distinguish between replacement and synonymous differences). Variable nucleotide positions from *D. melanogaster* and *D. simulans* at the *Rh3* locus (Table 1) were compared with those of the *bride of sevenless* locus (*boss*; Ayala & Hartl, 1993). These data also appear consistent with the neutral-mutation hypothesis ($X^2 = 0.79$, 2 df, $P = 0.70$).

In Table 2, the nucleotide substitutions are subdivided according to structural domains within the protein. The *Rh3* protein is predicted to contain

Site	Pub	mel abcde	sim fghij	tei klmno	yak pqrst	Type	pse uvw	Type	Site	Pub	mel abcde	sim fghij	tei klmno	yak pqrst	Type	pse uvw	Type
11	G	CCCCC	CCCCC	CCCCC	FR			210	G		CCC	FS
28	C		GGG	FR	213	G		AAA	FR
30	G	AAAAA	FS	TTT	FS	220	C		AAA	FR
31	T		CCC	FR	222	G		CCC	FS
35	GA..	PR	...		225	C		GGG	FS
39	C		TTT	FS	231	A		CCC	FS
47	C		GGG	FR	234	G		CCC	FS
48	C		TTT	FS	237	G		AAA	FS
50	C		TTT	FR	240	T	C....	CCCCC	CCCCC	PS,FS	GGG	FR
52	C		TTT	FR	246	CTT	PS	...	
57	G		TTT	FS	252	C	GGGGG	GGGGG	GGGGG	FS	...	FR
63	G		TTT	FR	255	AG.	PS	GGG	FS
69	G		AAA	FS	261	A		GGG	FS
72	G	CCCCC	CCCCC	CCCCC	CCCCC	PS	CCC		267	G		AAA	FS
78	C		AAA	FS	268	C		AAA	FS
82	A		TTT	FR	270	A	CCCCC	CCCCC	FS	GGG	FS
87	T	AAAAA	AAAAA	FS	...		276	C		GGG	FS
90	G		CCC	FS	282	T		CCC	FS
93	G	AAAAA	FS	...		285	A		CCC	FS
114	G		TTT	FR	288	G		TTT	FS
117	G		AAA	FS	291	C		GGG	FS
120	G		AAA	FS	315	C	TTTTY	PS	TTT	FS
123	G	TTTTT	TTTTT	TTTTT	FS	TTT		325	G		AAA	FR
129	T	CCCCC	FS	AAA	FS	333	T		GGG	FS
132	C	TT...	PS	...		336	G	AAAAA	CCCCC	FS,FS	CCC	
135	G		AAA	FS	339	A		CCC	FS
142	CTTT	PS	...		351	TC.C.	PS	CCC	FS
144	A		GGG	FS	354	CTTTT	TTTTT	TTTTT	PS,FS	...	
146	C		TTT	FR	360	C		TTT	FS
147	T		AAA	FS	366	A		CCC	FS
150	CYTTT	TTTTT	PS,FS	TT.	PS	369	T	CCCCC	CCCCC	CCCCC	FS	...	FS
156	G	AAAAA	FS	...		372	GT..	AAAAA	AAAAA	PS,FS	CCC	FS
162	C	T.....	PS	GGG	FS	373	C		TTT	FS
165	A	GGGGG	GGGGG	FS	...		374	T		CCC	FR
177	C		TTT	FS	375	GA.	PS	...	
186	C		TTT	FS	378	T		AAA	FS
192	C	AT...	GGGGG	GGGGG	PS,PS,FS	GGT	PS	381	T		CCC	FS
198	CA...	PS	...		382	C		TTT	FS
201	CT	PS	...		387	A		CCC	FS
204	C		TTT	FS	390	C		TTT	FS
207	C	T.....	PS	GGG	FS	399	T		CCC	FS
208	C		GGG	FR	402	A	GGGGG	GGGGG	GGGGG	FS	GGG	

Fig. 1. The first two columns contain the position numbers and corresponding nucleotides from the coding region of the published *D. melanogaster Rh3* sequence (Zuker *et al.*, 1987). Strains are identified with species names (*mel* = *D. melanogaster*; *sim* = *D. simulans*; *yak* = *D. yakuba*; *tei* = *D. teissieri*; *pse* = *D. pseudoobscura*) and collection sites (a, p, q, r, s, t = Brazzaville; b = Cotonou; c = Harwich; d = Lausanne; e, j = St. Louis; f = Capetown; g = Congo; h = Le Cap; i = Morrow Bay; k, l, m, n, o = Ivory Coast; u = published sequence of Carulli & Hartl (1992); v = California; w = Arizona). Nucleotides identical to the published sequence are shown as periods (.). Heterozygous sites are represented as: Y = C or T; K = G or T; S = G or C; W = A or T. The last column lists the types of substitutions observed at each site: FR = fixed replacement; FS = fixed synonymous; PR = polymorphic replacement; PS = polymorphic synonymous. Boxed regions indicate transmembrane domains.

seven transmembrane domains connected by inter- and extracellular loops (Zuker *et al.*, 1987). G-tests of goodness of fit with the Williams correction (Sokal & Rohlf, 1981) were performed to test whether the nucleotide substitutions were randomly distributed among the three main structural domains of *Rh3*. Within the *melanogaster* species subgroup, synonymous substitutions were found to be uniformly distributed ($G = 3.04$, 2 df, $P = 0.29$).

Within the entire data set, comprising both the *melanogaster* subgroup species and *D. pseudoobscura*, the synonymous substitutions were significantly non randomly distributed, with fewer substitutions than expected occurring in the intracellular region and more than expected in the extracellular domain ($G = 7.07$, 2 df, $P = 0.03$). With respect to amino acid replacements, the numbers are insufficient to test for a non random distribu-

Site	Pub	mel abcde	sim fghij	tei klmno	yak pqrst	Type	pse uvw	Type
403	A						GGG	FR
405	C		TT			PS		
417	T		C			PS	CCC	FS
423	A						GGG	FS
426	C						TTT	FS
429	T						GGG	FS
432	C						GGG	FS
441	C		GGGGG	GGGGG		FS	GGG	
444	T						CCC	FS
447	G						CCC	FS
450	T						CCC	FS
453	A						CCC	FS
462	T						CCC	FS
465	A						CCC	FS
467	T						AAA	FR
477	C						AAA	FS
480	T		GGGGG	GGGGG	GGGGG	FS	GGG	
483	A		T.T			PS		
504	G		A			PS		
519	T						AAA	FS
522	C		A.T			PS,PS		
528	C						AA	PS
531	A						TTT	FS
540	C		T			PS	TTT	FS
541	A						CCC	FR
546	C						TTT	FS
552	T						GGG	FS
555	A		TTTTT	TTTTT		FS	CCC	FS
564	T		A	AAAAA	AAAAA	PS,FS	GGG	FS
579	G						AAA	FS
580	A						TTT	FR
582	T						CCC	FS
588	C		A.AA	TTTTT	TTTTT	PS,FS		
591	T		C			PS		
594	T						CCC	FS
597	G						CCC	FS
600	G						CCC	FS
603	G						AAA	FS
606	A			TYCCC	TTTTT	PS,FS		
612	G						CCC	FS
615	A		GGGGG	CCCCC	CCCCC	FS,FS	GGG	
618	C						TTG	FS,PS

Site	Pub	mel abcde	sim fghij	tei klmno	yak pqrst	Type	pse uvw	Type
867	A		CCCCC	CCCCC	CCCCC	FS	TTT	FS
870	C						TTT	FS
876	G		A			PS		
883	T						GGG	FR
884	G						CCC	FR
885	C						AGG	PS,FS
888	G						CCC	FS
894	G						AAA	FS
897	G						AAA	FS
903	A						CCC	FS
906	T			.W.		PS	GGG	FS
912	G						CCC	FS
915	G						CCC	FS
921	C						AAA	FS
924	C						AAA	FS
933	T						CCC	FS
939	C						TTT	FS
940	C						TTT	FS
942	T						GGG	FS
943	T				CCCCC	FS	CCC	FS
945	G						CCC	FS
948	G						TTT	FS
954	A						CCC	FS
966	T						CCC	FS

Site	Pub	mel abcde	sim fghij	tei klmno	yak pqrst	Type	pse uvw	Type
627	T			CCCCC	CCCCC	FS		
636	C			AAAAA	AAAAA	FS	GGG	FS
639	C						GGG	FS
642	T		C	CCCCC	CCCCC	PS,FS		
648	C		T	TTTTT	TTTTT	PS,FS	TTT	
657	A			CCCCC	CCCCC	FS	TTT	FS
663	T						CCC	FS
668	C		GGGGG	GGGGG	GGGGG	FR		FR
672	C						.TT	PS
690	C		TTTTT			FS		
693	G						CCC	FS
696	T		CCCCC	CCCCC	CCCCC	FS	CCC	
702	C		S			PS		
705	G						CCC	FS
717	C		YYT			PS		
723	C		YYT			PS		
726	C						GGG	FS
732	T				AAAAA	FS		
744	G		CCCCC	CCCCC	CCCCC	CCCCC	CC	PS
747	T				CCCCC	CCCCC	FS	
756	G		.AAA	AAAAA	AAAAA	PS,FS		
759	A			GGGGG	GGGGG	FS	GGG	
762	A						GGG	FS
766	C			AAAAA	AAAAA	FS		
768	G			AAAAA	AAAAA	FS	TTT	FS
777	C		T	TTTTT	TTTTT	PS,FS		
789	C						TTT	FS
792	G						CCC	FS
795	A						TTT	FR
798	G			TTTTT	TTTTT	FS		
804	T		CCCCC	CCCCC	CCCCC	FS	CCC	
807	G		.KT			PS	AAA	FS
819	A						GGG	FS
821	A		GGGGG	GGGGG	GGGGG	FR	GGG	
829	A						GGG	FR
831	G						TTT	FS
834	G						AAA	FS
840	C						TTT	FS
843	G		T..A			PS,PS	CCC	FS
846	A						TTT	FS
852	A						GGG	FS
861	C				TTTTT	FS		

Site	Pub	mel abcde	sim fghij	tei klmno	yak pqrst	Type	pse uvw	Type
975	T						CCC	FS
976	G						AAA	FR
984	A				G	PS		
999	C		T			PS	AAA	FS
1005	G						CCC	FS
1014	C						TTT	FS
1020	A						CCC	FS
1023	T		C			PS		
1026	T		CCCCC	CCCCC	CCCCC	FS		FS
1035	C						TTT	FS
1050	G			AAAAA	AAAAA	FS		
1056	A						CCC	FS
1059	T						CCC	FS
1071	G						CCC	FS
1072	C						AAA	FR
1076	A						GGG	FR
1080	A						GGG	FS
1086	G						CCC	FS
1089	G						CCC	FS
1107	C				TY	PS		
1131	A			GGGGG	GGGGG	FS		
1137	G			AAAAA	AAAAA	FS		
1140	C		YY			PS		

tion. Three-dimensional log-linear modeling analyses (Sokal & Rohlf, 1981) were also performed on the data sets of Table 2 to test for significant differences in the ratios of replacement to synonymous substitutions, both between fixed and polymorphic substitutions and between the three main structural domains. The tests revealed no differences in the association between status in the population and effect on amino acid sequence for the different structural domains (after a single iteration, *melanogaster* subgroup: $G = 2.37$, 2 df, $P > 0.1$; entire data set: $G = 2.51$, 2 df, $P > 0.1$).

Under the assumption of selective neutrality, the observed ratio of replacement to synonymous substitutions should be equal to the ratio of total possible neutral replacement substitutions to total possible synonymous substitutions (McDonald & Kreitman, 1991a; Sawyer & Hartl, 1992). For *Rh3* in the *melanogaster* subgroup, this ratio is $(3 + 1) : (49 + 47) = 1:24$, and the total number of possible synonymous substitutions is 739. The total number of possible neutral amino-acid-replacement substitutions in the *melanogaster* subgroup at this locus is therefore approximately $739/24 = 31$. The binomial standard error on this estimate is approximately 11.

The average percent G + DC content at four fold degenerate sites (GC_4) within the *melanogaster* subgroup species is 80.8%, 80.7%, 81.9% and 81.3% for *D. melanogaster*, *D. simulans*, *D. teissieri*, and *D. yakuba*, respectively. These values are among the highest known for any *D. melangoaster* locus (E. Moriyama, unpublished observations). The average 'scaled χ^2 value' which provides a measure of codon bias (Shields *et al.*, 1988) for the four species is 0.51, 0.47, 0.51 and 0.49, given in the same order as above. These values are inter-

mediate in range for *D. melanogaster* loci (Shields *et al.*, 1988). The average GC_4 of the *D. pseudoobscura RH3* alleles is 81.8%, and the average scaled χ^2 value is 0.41.

In Table 3, the patterns of synonymous substitution at the *Rh3* locus in the *melanogaster* subgroup are compared with those from two other loci, *alcohol dehydrogenase (Adh)*; (McDonald & Kreitman, 1991a) and *boss* (Ayala & Hartl, 1993) (*D. teissieri* data were excluded from this comparison because corresponding data from *Adh* are unavailable). A 2×3 G-test of independence with the Williams correction (Sokal & Rohlf, 1981) indicates a significant difference in the patterns of synonymous-site evolution between *Rh3* and the other two loci ($G = 7.42$, 2 df, $P = 0.025$). The *Rh3* gene has either a deficit of polymorphic synonymous substitutions or an excess of fixed synonymous substitutions.

The average number of nucleotide differences per site between two randomly chosen alleles of *Rh3*, a measure of nucleotide diversity independent of both sequence length and sample size (Nei, 1987), was calculated for all five species. These values are 0.0007, 0.0120, 0.0021, 0.0002, and 0.0043 for *D. melanogaster*, *D. simulans*, *D. teissieri*, *D. yakuba*, and *D. pseudoobscura*, respectively. The nucleotide diversities of *Rh3* in *D. melanogaster* and *D. simulans* are among the lowest and highest, respectively, of any known locus from these species.

Discussion

Ever since Darwin first proposed the theory of evolution by natural selection, the special problem of the origin and evolution of visual systems has intrigued evolutionary biologists. The *Drosophila* visual system is extraordinarily complex, and genetic studies have elucidated a wide range of loci, including developmental, functional, and structural genes, within this system. The advent of molecular techniques has also made possible very fine-scale analyses of evolutionary processes at the molecular level. Carulli and Hartl (1992) have analyzed the DNA sequences of the four known opsin genes, *Rh1* through *Rh4*, in both *D. melanogaster* and *D. pseudoobscura*. We have extended this investigation into the evolution of the opsin loci by analyzing both inter- and intra-specific DNA sequence comparisons of one of the opsin loci, *Rh3*.

Table 3. Number of synonymous nucleotide substitutions in the *Adh*, *boss* and *Rh3* genes of the *D. melanogaster* subgroup^a that are fixed between species or polymorphic within species.

	Fixed	Polymorphic
<i>Adh</i>	20	44
<i>boss</i>	60	75
<i>Rh3</i>	47	41
G Value		7.42
Probability		0.025

^a Includes *D. melanogaster*, *D. simulans* and *D. yakuba*.

Genetic variation in natural populations is the result of a combination of adaptive processes which influence allelic frequencies through natural selection, and selectively-neutral processes, including mutation, genetic drift and intra-allelic recombination. McDonald and Kreitman (1991a) have pointed out that, in the absence of adaptive evolution, the ratio of replacement to synonymous fixed nucleotide substitutions should be the same as the ratio of replacement to synonymous polymorphic substitutions. Hence, analyses of both inter- and intra-specific nucleotide diversity can reveal whether a given locus is undergoing adaptive changes. The patterns of nucleotide substitution at the *Rh3* locus in the *melanogaster* subgroup are consistent with the neutral expectation (Table 1). Although considerable controversy has arisen over the validity of this test of the neutral-mutation hypothesis (e.g., Graur & Li, 1991; Whittam & Nei, 1991; McDonald & Kreitman, 1991b), a detailed theoretical foundation for the test has been provided by Sawyer and Hartl (1992). The HKA test, in which patterns of polymorphism and divergence are compared between different loci, again suggests that neutral processes are responsible for virtually all of the observed nucleotide substitutions in *Rh3* in the *melanogaster* subgroup.

When the patterns of *Rh3* nucleotide substitution from the *melanogaster* subgroup are combined with those of *D. pseudoobscura*, the interpretations of the McDonald-Kreitman test are not so straightforward, owing to the possibility of multiple nucleotide substitutions at many sites (an effect of mutational saturation). Specifically, a large number of fixed replacement substitutions suggests adaptive fixation of advantageous mutations, but a correction must be made for multiple substitutions. Although the divergence of the *melanogaster* subgroup has been estimated as occurring between 1.6 and 6.1 Myr (million years) ago (Caccone, Amato & Powell, 1988; Ayala & Hartl, 1993), the separation of *D. pseudoobscura* from the *melanogaster* lineage occurred approximately 30 to 40 Myr ago (Beverly & Wilson, 1984). Because comparisons between species that have evolved independently for so long must take into account the possibility of accumulation of multiple substitutions, it is difficult to obtain reliable estimates of the true number of substitutions (Lewontin, 1989). Replacement and synonymous substitutions will saturate at dif-

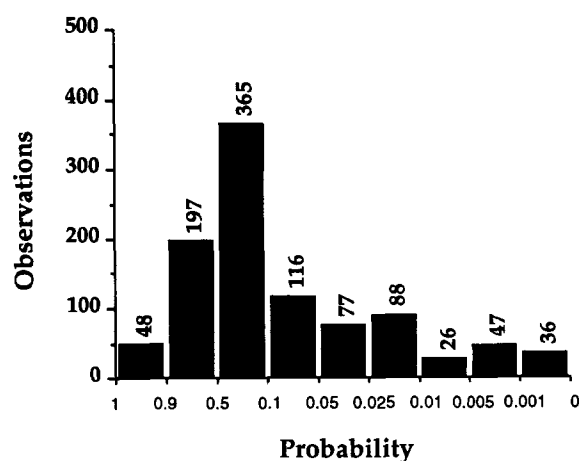


Fig. 2. Distributions of observed probability values of 2×2 contingency tables generated from computer simulations of forward coalescent processes. Out of 1000 replicates, 274 were significant at the $P < 0.05$ level.

ferent rates, because the proportion of transversions is far higher among replacement substitutions than synonymous substitutions, and transitions and transversions occur at different rates (Hartl, 1988). The synonymous substitutions themselves fall into different degeneracy classes, which are also known to saturate at different rates (Riley, 1989). Because the time separating *D. pseudoobscura* from the *melanogaster* species subgroup is so large compared to the time since the radiation of the individual species, the differences in saturation rates will affect the number of fixed substitutions to a greater extent than polymorphic substitutions. If replacement substitutions have a lower number of neutral alternative states, and also have a lower saturation rate owing to the higher proportion of transversions, then the ratio of replacement to synonymous substitutions would be higher for fixations than polymorphisms. The discrepancy between the two ratios in the *melanogaster* subgroup/*D. pseudoobscura* comparison of Table 1 could therefore plausibly be attributed to different rates of saturation.

Monte Carlo simulations were performed to test whether neutral processes could produce significant differences in these ratios. The simulation is a forward coalescent process with random mutation, in which two initially identical sequences diverge from each other and subsequently radiate in a random branching process. The simulated sequences

contain the same number of 2-fold degenerate replacement, and 2-, 3-, and 4-fold degenerate synonymous sites, as in the *D. melanogaster Rh3* gene (estimation of the number of neutral replacement sites as 31 is described in the results). Population parameters, including mutation rates, branch lengths, and relative number of neutral transition and transversion substitutions, were chosen to approximate actual values. A 2:1 ratio of transition to transversion mutations was assumed (Hartl, 1988). In addition, the simulations make the following assumptions: (1) all substitutions are selectively neutral, (2) replacement substitutions behave like 2-fold degenerate synonymous substitutions, i.e. there are no more than two possible neutral states at each position, and (3) all replacement substitutions are independent, i.e. there is no interaction between different positions. One thousand replicates were performed. At the end of each run, nucleotide substitutions were counted and classified as replacement or synonymous, and fixed or polymorphic, and a corresponding G-statistic was calculated. The resulting distribution of probability values is shown in Figure 2. A significant difference between the two ratios was observed at a frequency of 27.4%, indicating that the probability of a Type I statistical error (rejection of a true null-hypothesis) is high, and that differences in the ratios of replacement to synonymous substitutions that are fixed or polymorphic could be the result of differences in saturation rates at neutral sites.

The assumption that there are no more than two neutral states for any replacement site is supported by the observation that there are no sites in the *Rh3* data which contain more than one replacement substitution. The assumption of independence among neutral replacement positions is difficult to test. In *Rh3*, there is one instance of two replacement substitutions occurring within a single codon at nucleotide positions 883 and 884. Site-directed mutagenesis experiments (DuBose & Hartl, 1989), and comparisons across a wide phylogenetic range (Fitch & Markowitz, 1970), provide evidence that some replacement substitutions can affect the selective constraints operating on other positions within the same locus. It is unclear whether a violation of either of these two assumptions is likely to have a large effect on the ratios presented in Table 1. However, these simulations confirm the assertion of McDonald and Kreitman (1991a) that their

test is not appropriate for species comparisons that approach saturation.

Increasing the number of sequences would be unlikely to resolve the ambiguities of the *melanogaster* subgroup/*D. pseudoobscura* comparison. In principle, the outcome of the McDonald-Kreitman test is independent of sample size (McDonald & Kreitman, 1991b; Sawyer & Hartl, 1992). Additional *D. pseudoobscura* sequences, for example, might increase the number of observed polymorphisms relative to fixations, but this effect would be the same for both replacement and synonymous differences, and would therefore not significantly alter the ratios of Tables 1 or 2.

Varying rates of synonymous-site evolution have been reported within genes (Lawrence, Hartl & Ochman, 1991), between codon degeneracy classes (Riley, 1989), between *Drosophila* lineages (Moriyama & Gojobori, 1992), and between loci (Moriyama & Gojobori, 1992). The *Drosophila* opsin gene family also exhibits a complex pattern of synonymous evolution. Carulli and Hartl (1992) found no consistent relationships between codon bias, base composition of third position sites, or synonymous substitution rates of the opsin genes between *D. melanogaster* and *D. pseudoobscura*. Furthermore, there was no consistent relationship between synonymous substitution rates and amino acid replacement rates among the four opsin genes. The *Rh3* and *Rh4* genes, for example, which share 75% amino acid identity and have similar functions and expression patterns, have very similar numbers of synonymous substitutions between the two species, but more than a two-fold difference in the number of replacement substitutions.

Has natural selection shaped the patterns of synonymous substitution in *Rh3*? Considerable evidence for selection of synonymous substitution in *Drosophila* has been reported (Shields *et al.*, 1988), and several mechanisms have been proposed (Sharp & Li, 1986). Within *Rh3*, we found synonymous substitutions to be evenly distributed among the three main structural domains in the *melanogaster* subgroup (Table 2). Between the *melanogaster* subgroup species and *D. pseudoobscura*, however, there is a significantly non random distribution of synonymous substitutions, with a large excess in the extracellular domain and a corresponding deficit in the intracellular region. This discrepancy is difficult to interpret. Several factors that may be

responsible for intragenic variation in synonymous substitution rate have been identified in bacteria, including stability of mRNA secondary structure (Lawrence, Hartl & Ochman, 1991), as well as selection for the use of non optimal codons at the beginning of highly-expressed genes, possibly to retard translation in order to prevent interference between the leading ribosome and RNA polymerase (Bulmer, 1988). It is unclear to what extent these factors may be operative in *Drosophila*.

The intraspecific analyses also reveal differences in the relative numbers of fixed and polymorphic synonymous substitutions between *Rh3* and two other loci from the same species comparisons, *Adh* and *boss* (Table 3). These differences in the evolutionary accumulation of synonymous mutations, however, could be attributed to non-selective processes, such as gene conversion or hitchhiking, and therefore do not necessarily indicate adaptive evolution.

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